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10/719,218

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Wen-Chou Vincent Wang

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BEYER WEAVER LLP

ATTN: ALTERA

P.O. BOX 70250

OAKLAND, CA 94612-0250

EXAMINER

RAO, SHRINIVAS H

ART UNIT

PAPER NUMBER

2814

MAIL DATE

DELIVERY MODE

10/29/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | | |
|------------------------------|------------------------|---------------------|--|
| Office Action Summary | Application No. | Applicant(s) | |
| | 10/719,218 | WANG ET AL. | |
| | Examiner | Art Unit | |
| | Steven H. Rao | 2814 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 29 August 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☐ Claim(s) 1-16 and 37-40 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☐ Claim(s) 1-16,37-40 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114.

Applicant's submission filed on August 29, 2007 has been entered.

Therefore Claims 1,3,4,6,10,12,15,16,38,39 and 40 as amended by the amendment .
Claims 2,5,7 to 9, 11,13,14 and 37 as previously recited are currently pending in application.

Claims 17 to 36 have been cancelled.

Information Disclosure Statement

To date no IDS has been filed in this Application.

Claims

It is noted that Applicant's request for RCE specifically did not include a request to enter their 116 amendment filed on July 17, 2007.

Further Applicants' amendment submitted along with RCE amends the claims from their previously submitted namely March 09, 2007 claims .

Therefore the After Final Amendment of July 17, 2007 will NOT BE ENTERED.

Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the

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art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 1 to 16 and 37-40 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Claim 1 has been amended to recite "active" and "non-active" surfaces instead of top and bottom surfaces of the die.

The specification as originally filed only describes die having top and bottom surfaces. There is no description of active/non-active surfaces. Further both the top and bottom surfaces seem to have circuitry thereon. (specification page 4, etc.) .

As the specification does describe an active surface it cannot Further describe an active surface including circuitry fabricated thereon .

Claims 2 to 16 and 37-40 are rejected for at least depending upon rejected claim 1.

Appropriate correction is required.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences

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between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1 to 16 and 37-40 are rejected under 35 U.S.C. 103(a) as being unpatentable over DiStefano (U.S. Patent No. 6,709, 895, herein after Distefano) previously applied and further in view of Iijima (Japanese Published Patent Application No. P2003-030767 and the corresponding U.S. Printed Publication No. 2004/0155358, in the rejection below reference will be made to U.S. publication only for the sake of English language).

With respect to claim 1 Di Stefano describes a semiconductor package comprising: a die having a plurality of layers of low-K dielectric material in the die (Fig. 2# 61 chip/die, col. 3 line 24., # 2 low -k die electric material). Tanaka does not specifically mention the layers of low-K dielectric material in the die.

However, Iijima in para 0008 describes (the well known in the art) of the layers of low-K dielectric material in the die/chip to provide dies/chips having high thermal resistance to withstand subsequent processing steps using lead free solder, etc. performed under high temperature conditions.

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to include Iijima's chip/die having the layers of low-K dielectric material in the die instead of Tanaka's die in Tanaka's device, the motivation to make the combination is to provide dies/chips having high thermal resistance to withstand subsequent processing steps using lead free solder, etc. performed under high temperature conditions. (Iijima paras 0006 and 0007).

The remaining limitations of claim 1 are :

Distefano figure 7 # 132-die figure 1 # 32 die dielectric layers 20,26) the die having a active surface, including circuitry formed thereon a non-active surface, and a plurality of side surfaces, each surface having associated corner and edge regions.

It is noted that as per the 112 rejection above active, non-active and active including circuitry fabricated thereon constitute new matter .

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Assuming arguing that Applicants' overcome the new matter rejection (Distefano figures 1 and 7, etc. describe/show these elements) a wire bonding packaging substrate having a plurality of electrical contacts, (Distefano figure 1 # 66, col. 1 line 61, figure 7 # 440) the packaging substrate being positioned under the die (Distefano fig. 1 66 under 32, col. 1.8 lines 55-60, figure 7 shaded portion under 432) ; a plurality of interconnects electrically connecting the die to the plurality of electrical contacts, (Distefano figure 1 54, col. 7 lines figure 7 # leads not numbered similar to flexible leads 54 in figure 3) a molding interface material applied to at least a portion of the active surface of the die, (Distefano figure 1 52 over substrate (chip) 66 figure 7, col. 13 lines 55 to 65, Iijima figure 1 8 over substrate (chip) 1, para 0024, para 0030 for description of substrate (chip) 1 etc. or over chip/substrate 66) the molding interface material being configured to control at least one of tensile and shear stresses experienced by the die in the proximity of the active surface ; (Distefano figure 1 52 figure 7, col. 13 lines 55 to 65) and a molding cap covering at least a portion of the die, packaging substrate, interconnects, and the molding interface material. (Distefano , figure 1 58 figure 7 # 459).

With respect to claim 2 Distefano describes a semiconductor package as recited in claim 1, wherein the molding interface material is configured to introduce compressive stress to the die, (it is inherent, that the same material disclosed by Distefano as that claimed by Applicants' will recite the same compressive stress as claimed herein) thereby strengthening the die against the at least one of tensile and shear stresses. (it is further inherent that increase in one kind of stress (compressive) will reduce the other (tensile and/or shear stress and strengthen the die against the at least one of tensile and shear stresses.

The recitation, 'wherein the molding interface material controls by applying compressive stress to the die, thereby strengthening the die against the at least one of tensile and shear stresses' is taken to be a hybrid functional and product by process recitation for which patentable weight cannot be given.

With respect to claim 3 Distefano describes a semiconductor package as recited in claim 1, wherein the molding interface material is either polyimide or BCB . (Distefano col. 8 lines 9 to 16- polyimide).

With respect to claim 4 Distefano describes a semiconductor package as recited in claim 1, wherein the molding interface material is on at least a

portion of the plurality of side surfaces of the die. (Di Stefano figure 7 encapsulant 458 on sides of 432).

With respect to claim 5 Di Stefano describes a semiconductor package as recited in claim 4, wherein the molding adjacent portion of the packaging interface material is also on a corresponding substrate in order to secure the die to the packaging substrate. (Distefano figures 1-7).

The limitation "in order to secure" is also taken to be a product by process limitation for which no patentable weight can be given. See discussion above under claim 2 (incorporated here by reference).

With respect to claim 6 Di Stefano describes a semiconductor package as recited in claim 1, wherein the molding interface material covers multiple non-contiguous regions to the active surface of the die. (Distafano figures1- 7).

With respect to claim 7 Di Stefano describes a semiconductor package as recited in claim 6, wherein at least one of the multiple non-contiguous regions is rectangular in shape. (Distafano figures 1- 7)

With respect to claim 8 Di Stefano describes a semiconductor package as recited in claim 6, wherein at least one of the multiple non-contiguous regions is triangular in shape. (Distafano figures1-7)

With respect to claims 9 Di Stefano describes a semiconductor package as recited in claim 6, wherein each of the multiple non-contiguous regions has a thickness of less than 2 microns. (Claim 9 depends from claim 6 and the product by process limitation not being given patentable weight in claim 6 is also applicable here.).

With respect to claims 10 and 12, 11Di Stefano describes a semiconductor package as recited in claim 1, wherein the molding interface material is a contiguous region on the active surface of the die excluding corner regions. (Distafano figure 7)

With respect to claim 11 Di Stefano describes a semiconductor package as recited in claim 10, wherein the contiguous region is offset from the corner regions by about 100 to 150 microns. (DiStefano figures, entire patent) With respect to claim 13 Di Stefano describes a semiconductor package as recited in claim 12, wherein the contiguous region is offset from the edge

regions by about 100 to 150 microns. (rejected for same reasons as claim 11)

With respect to claim 14 Di Stefano describes a semiconductor package as recited in claim 1, wherein the molding interface material has a coefficient of thermal expansion between 5 ppm and 40 ppm. (Distefano col. 8 lines 17-40, col. 9 lines 18 to 65).

With respect to claim 15 Di Stefano describes a semiconductor package as recited in claim 14, wherein the molding interface material is over a substantial portion of the active surface of the die such that a stress buffer zone is established between the active surface of the die and the molding cap. (DiStefano figures 1- 7, col. 13 lines 53-62).

With respect to claim 16 Di Stefano describes a semiconductor package as recited in claim 1, wherein the plurality of layers includes extra low-K dielectric material. (Di Stefano col. 6 line 3 polyimide known in the art to be low k-dielectric material).

With respect to claim 37 DiStefano describes a Semiconductor package as recited in claim 1 where the molding interface material is a layer positioned between and in contact with the die and the molding cap. (Distefano 52 between 32 and 58 figures)

With respect to claim 38 DiStefano describes a semiconductor package as recited in claim 1 wherein the plurality of layers of low-K dielectric material have a CTE between the range of 20 ppm and 50 ppm. (Distefano col. 8 lines 17-40, col. 9 lines 18 to 65).

With respect to claim 39 Di Stefano describes a semiconductor package as recited in claim 1, wherein the plurality of layers of low-K dielectric material have a dielectric constant between 2.6 and 3.5. (polyimide dielectric constant between 3.1-3.4, and other materials described in DiStefano)

With respect to claim 40 DiStefano describes a semiconductor package as recited in claim 1, wherein the plurality of layers of low- K dielectric material have a dielectric Constant between 2.2 and 2.6. (DiStefano col. 7 line 51 to col. 8 line 16).

Response to Arguments

Applicant's arguments filed August 29, 2007 have been fully considered but they are not persuasive for the following reasons :

Applicants' contention on page 7 of their remarks that " With flip chip packages , there is no molding cap" is NOT correct.

An East search including " (flip with chip with package) and (molding with cap) " located at least 99 patents including e.g. 5,866,942; 6,166,556; 6,444,501; 6,696,748; and 20070209834.

Therefore Applicants' conclusion/s based upon the above incorrect assumption (with flip chip package there is no molding cap) namely Iijima does teach or suggest a number of claimed elements including a molding cap (shown above to be incorrect) , or either a molding interface material (See Final rejection page 9 and incorporated here by reference) to control tensile and/or shear stress experienced at the active surface of the die caused by a molding cap (this recitation taken to be a particular use recitation for which no patentable weight can be given see rejection above).

Therefore all of the Applicants' are not correct and therefore not persuasive.

Therefore Destefano and Iijima either alone or in combination teach/suggest all the presently recited limitations including those discussed above.

Therefore all pending claims are rejected.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Steven H. Rao whose telephone number is (571) 272-1718. The examiner can normally be reached on 8.30-5.30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wael Fahmy can be reached on 571-272-1714. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Steven H Rao/
Examiner, Art Unit 2814

/Howard Weiss/
Primary Examiner, Art Unit 2814